INITIAL STATEMENT OF REASONS
FOR
PROPOSED BUILDING STANDARDS
OF THE
OFFICE OF THE STATE FIRE MARSHAL (SFM)
REGARDING THE ADOPTION BY REFERENCE OF THE
2009 EDITION OF THE UNIFORM MECHANICAL CODE (UMC)
WITH AMENDMENTS INTO THE 2010 CALIFORNIA MECHANICAL CODE (CMC)
CALIFORNIA CODE OF REGULATIONS TITLE 24, PART 4

The Administrative Procedure Act requires that an Initial Statement of Reasons be available to the public upon request when rulemaking action is being undertaken. The following are the reasons for proposing this particular rulemaking action:

STATEMENT OF SPECIFIC PURPOSE AND RATIONALE
(Government Code Section 11346.2)

The specific purpose of this rulemaking effort by the Office of the State Fire Marshal is to act accordance with Health and Safety Code section 18928, which requires all proposed regulations to specifically comply with this section in regards to the adoption by reference with amendments to a model code within one year after its publication.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies addressed in the 2009 Uniform Mechanical Code and be published as the 2010 California Mechanical Code.

The general purpose of this proposed action is principally intended to update and codify a new edition of the California Mechanical Code (California Code of Regulations, Title 24, Part 4) based upon a more current edition of a model code. The current California Mechanical Code in effect is the 2007 California Mechanical Code which is based upon the 2006 Uniform Mechanical Code of the International Plumbing and Mechanical Officials. This proposed action:

- Repeal certain amendments to the 2006 Uniform Mechanical Code and/or California Building Standards not addressed by the model code that are no longer necessary pursuant with Health and Safety Code 18930(a)(7).
- Adopt new building standards or necessary amendments to the 2009 Uniform Mechanical Code that address inadequacies of the 2009 Uniform Mechanical Code as they pertain to California laws.
- Bring forward previously existing California building standards or amendments, which represent no change in their effect from the 2007 California Building Standards Code.
- Codify non-substantive editorial and formatting amendments from the format based upon the 2006 Uniform Mechanical Code to the format of the 2009 Uniform Mechanical Code.

The specific purpose and rationale of each adoption, amendment, or repeal is as follows:
CHAPTER 1
DIVISION I
CALIFORNIA GENERAL CODE PROVISIONS

The SFM propose to create two Divisions within Chapter 1 for existing administrative provisions being brought forward and administrative provisions contained in the 2009 UMC. The creation of two divisions is a result of and direction made by the California Building Standards Commissions, Code Coordinating Council and Stakeholder discussions regarding relocating the base model code administrative provisions from an appendix to Chapter 1 of the code. The SFM proposes to distinguish the California Chapter 1 administrative provisions from those of the base model code Chapter 1 administrative provisions by renumbering the California administrative sections.

Sections 1.1 – 1.1.12

The SFM is proposing to maintain the adoption of those existing California regulations contained Sections 1.1 through 1.1.12 with modification.

The SFM proposes to update the UMC reference from 2006 to 2009, and to make changes to provide consistency with other Parts of Title 24, administrative provisions. The SFM proposes to make nonsubstantive reference, grammatical, and punctuation corrections to Sections 1.1 through 1.1.12 for consistency with the Chapter 1, General Code Provisions of Title 24, Parts 2, 3, 4, 5, and 9. The SFM proposes modifications to 1.1.5 to further clarify that the National Fire Code also include the National Fire Code Standards by adding the term “standards”. The SFM proposes modifications to Section 1.1.8.1 are proposed to revise the mailing address for the Department of Housing and Community Developments (HCD) from a physical address to a post office box for mailings of findings to be filed with HCD. The SFM proposes modifications to clarify in Section 1.1.10 that complete copies of specified California Code of Regulations Titles are required to be maintained at the building official's office. These amendments do not create a change in regulatory effect.

1.1.7.4
1.1.13
1.1.14

The SFM proposes to incorporate into the California General Code Provisions certain administrative provisions derived from the Building, Fire and Residential Code. These administrative provisions are proposed to be relocated from the proposed Division II of Chapter 1 of such codes as they are typically note adopted by SFM in the base model code. Relocating these provisions to Division I of the California General Code Provisions will provide statewide application and consistency. These amendments do not create a change in regulatory effect.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

Sections 1.11 – 111.10

The SFM is proposing to maintain the adoption of those existing California regulations contained Sections 1.11 through 1.11.10 with modification. The SFM proposes to distinguish the California Chapter 1 administrative provisions from those of the base model code Chapter 1 administrative provisions by renumbering the California administrative sections.

SFM proposes to make nonsubstantive reference, grammatical, and punctuation corrections to Sections 1.11 through 1.11.10 for consistency with the Chapter 1, General Code Provisions of Title 24, Parts 2, 3, 4, 5, and 9. SFM proposes modifications to 1.11.1 to further clarify the authority and reference for applications regulated by the SFM. SFM proposes modifications to clarify in Section 1.11.2.1.2 enforcement responsibilities for state owned buildings, state occupied buildings, and state institutions. SFM proposes modifications to remove obsolete language and terminology from Section 1.11.3.1 for public school plans and specifications and annual submission. These amendments do not create a change in regulatory effect.
regulatory effect.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

[1.2]

CHAPTER 1
DIVISION II

The SFM proposes the Division II designation for the UMC Chapter 1 Administrative provisions - Sections 101 through 117.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

[2]

CHAPTER 2
DEFINITIONS

The SFM proposes to adopt Chapter 2 with amendment and California regulation. Furthermore, the SFM is maintaining the adoption of those existing California definitions or model code definitions as amended in Chapter 2 without modification. The SFM is proposing adoption of this Chapter with amendment as shown in the corresponding Matrix Adoption Table pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The SFM proposes to repeal amendments or California regulations for occupancy classification and the term U.M.C. The 2009 UMC now adequately addresses occupancies and the SFM reference to the California Building Code is no longer necessary. The term U.M.C. is no longer used by the SFM in the CMC and is no longer necessary.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

[3]

CHAPTER 3
GENERAL REQUIREMENTS

The SFM proposes to adopt Chapter 3 with amendment and California regulation. Furthermore the SFM is maintaining the adoption of those existing California regulations or Sections as amended in Chapter 3 without modification. The SFM is proposing adoption of this Chapter with amendment as shown in the corresponding Matrix Adoption Table pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

303.7
The SFM proposes editorial revisions to the above section to clarify reference to the California Fire Code.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

[4]

CHAPTER 4
VENTILATION AIR SUPPLY

The SFM proposes to adopt Chapter 4 with amendment and California regulation. Furthermore the SFM is maintaining the adoption of those existing California regulations or Sections as amended in Chapter 4 without modification. The SFM is proposing adoption of this Chapter with amendment as shown in the corresponding Matrix Adoption Table pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

401.0
The SFM proposes editorial revisions to the above section to correct the section reference.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

[5]

CHAPTER 5
EXHAUST SYSTEMS

The SFM proposes to adopt Chapter 5 with amendment and California regulation. Furthermore the SFM is maintaining the adoption of those existing California regulations or Sections as amended in Chapter 5 without modification. The SFM is proposing adoption of this Chapter with amendment as shown in the corresponding Matrix Adoption Table pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

509.2.4
The above Section as amended or Section containing California regulations are brought forward with modification. The SFM proposes editorial revisions to correct the reference title for the SFM standards referenced. There is no change in regulatory effect.

510.7.1
510.7.1.1
The SFM is proposing to repeal the California regulations related to Type I hoods in Section 510.7.1.1 and adopt the 2009 Uniform Mechanical Code provisions contained in Section 507.1. Furthermore the SFM received petition requesting the repeal, the SFM agrees with the petition that the adoption of the 2009 Uniform Mechanical code provisions adequately address Type I hoods. Furthermore the exception to Section 510.7.1 allows for discretion of the enforcing agency to accept field applied or factory built grease duct enclosures.
The above Section as amended or Section containing California regulations are brought forward without modification. There is no change in regulatory effect.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

CHAPTER 6
DUCT SYSTEMS

The SFM is maintaining the adoption of those existing California regulations or Sections as amended in Chapter 6 without modification. The SFM is proposing adoption of this Chapter as amended as shown in the corresponding Matrix Adoption Table pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

CHAPTER 7
COMBUSTION AIR

The SFM is proposing the adoption of Chapter 7 without amendment pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

CHAPTER 8
CHIMNEYS AND VENTS

The SFM is proposing the adoption of Chapter 8 without amendment pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.
The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

[9. The SFM proposes to adopt Chapter 9 without amendments.]

CHAPTER 9
INSTALLATION OF SPECIFIC EQUIPMENT

The SFM is proposing the adoption of Chapter 9 without amendment pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The SFM is proposing to repeal the California regulations related to Warm-Air Furnaces in the above Sections and adopt the updated 2009 Uniform Mechanical Code provisions for Warm-Air Furnaces. There is no change in regulatory effect.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

[10]

CHAPTER 10
STEAM AND HOT WATER BOILERS

The SFM is proposing the adoption of Chapter 10 without amendment pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

[11]

CHAPTER 11
REFRIGERATION

The SFM is proposing the adoption of Chapter 11 without amendment pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.
The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

CHAPTER 12
HYDRONICS

The SFM proposes to not adopt Chapter 12 pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

CHAPTER 13
FUEL GAS PIPING

The SFM is proposing the adoption of Chapter 13 without amendment pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

CHAPTER 14
PROCESS PIPING

The SFM is proposing the adoption of Chapter 14 without amendment pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

CHAPTER 15
SOLAR SYSTEMS

The SFM proposes to not adopt Chapter 15 pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

CHAPTER 16
STATIONARY FUEL CELL POWER PLANTS

The SFM is proposing the adoption of Chapter 16 without amendment pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

CHAPTER 17
STANDARDS

The SFM is proposing the adoption of Chapter 17 without amendment pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

APPENDIX A
UNIFORM MECHANICAL CODE STANDARD NO. 2-2

APPENDIX A
UNIFORM MECHANICAL CODE STANDARD NO. 6-2

APPENDIX A
UNIFORM MECHANICAL CODE STANDARD NO. 6-5
The SFM is proposing the adoption of A without amendment pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

[19]

**APPENDIX B**

PROCEDURES TO BE FOLLOWED TO PLACE GAS EQUIPMENT IN OPERATION

**APPENDIX C**

INSTALLATION AND TESTING OF OIL (LIQUID) FUEL-FIRED EQUIPMENT

**APPENDIX D**

UNIT CONVERSION TABLES

The SFM proposes to not adopt Appendices B through D pursuant to Health and Safety Code Sections 13108, 13113, 13114, 13131.5, 13143, 13143.6, 17921 and 18949.2.

The actions described above are reasonably necessary to carry out the purpose for which it is proposed. The rationale for these actions is to establish minimum requirements for the prevention of fire and for the protection of life and property against fire and panic in occupancies that are addressed in the 2009 Uniform Mechanical Code and published as the 2010 California Mechanical Code pursuant to Health and Safety Code Section 13108, 13113, 13114, 13131.5, 13143, 17921, and 18949.2.

**TECHNICAL, THEORETICAL, AND EMPIRICAL STUDY, REPORT, OR SIMILAR DOCUMENTS:**
(Government Code Section 11346.2(b)(2)

The SFM did not rely on any technical, theoretical, and empirical study, report, or similar documents outside of those contained in this rulemaking in proposing that CBSC adopt said model code as a reference standard for the placement of existing SFM regulatory amendments for the California Building Standards Codes.

**CONSIDERATION OF REASONABLE ALTERNATIVES**
(Government Code Section 11346.2(b)(3)(A)

The SFM has determined that no alternative considered would be more effective in carrying out the purpose for which the regulation is proposed or would be as effective and less burdensome to affected private persons than the proposed adoption by reference with SFM amendments. Therefore, there are no alternatives available to the SFM regarding the proposed adoption of an electrical code.

**REASONABLE ALTERNATIVES THE AGENCY HAS IDENTIFIED THAT WOULD LESSEN ANY ADVERSE IMPACT ON SMALL BUSINESS.**
(Government Code Section 11346.2(b)(3)(B)

The SFM has determined that no alternative available that would be more effective in carrying out the purpose for which the regulation is proposed or would be as effective and less burdensome to affected private persons than the proposed adoption by reference with SFM amendments. Therefore, no alternatives have been identified or that have otherwise been considered.
been identified and brought to the attention of the SFM that would lessen any adverse impact on small business.

**FACTS, EVIDENCE, DOCUMENTS, TESTIMONY, OR OTHER EVIDENCE OF NO SIGNIFICANT ADVERSE IMPACT ON BUSINESS.**  
(Government Code Section 11346.2(B)(4))

The SFM has made a determination that this proposed action will not have a significant adverse economic impact on business. Health and Safety Code Section 18928 requires the SFM, when proposing the adoption of a model code, national standard, or specification shall reference the most recent edition of the applicable model code, national standard, or specification. Therefore, there are no other facts, evidence, documents, testimony, or other evidence on which the SFM relies to support this rulemaking.

**DUPLICATION OR CONFLICTS WITH FEDERAL REGULATIONS**  
(Government Code Section 113465.2(b)(5))

The SFM has determined that this proposed rulemaking action does not unnecessarily duplicate or conflict with federal regulations contained in the Code of Federal Regulations that address the same issues as this proposed rulemaking.